

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)


Approved for use through 10/31/2002. OMB 0651-0031

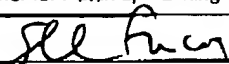
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/625,963
	Filing Date	July 26, 2000
	First Named Inventor	Hans Josef Stauss
	Group Art Unit	1644
	Examiner Name	A. Decloux
Total Number of Pages in This Submission	Attorney Docket Number	ILI 101

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return post-card, and two (2) references.
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Patrea L. Pabst, Reg. No. 31,284 Suite 2000, One Atlantic Center, 1201 West Peachtree Street, N.E., Atlanta, GA 30309-3400 Holland & Knight LLP
Signature	
Date	August 20, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 20, 2002	
Typed or printed name	
Signature	Date August 20, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

07823/00017

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0851-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT**

(\$)-0-

**Complete if Known**

Application Number	09/625,963
Filing Date	July 26, 2000
First Named Inventor	Hans Josef Stauss
Examiner Name	A. Decloux
Group Art Unit	1644
Attorney Docket No.	ICI 101

**METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:Deposit Account Number  
Deposit Account Name

50-1868

Holland &amp; Knight LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1)** (\$)**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent Claims	Multiple Dependent						
7	- 35	0	X				
2	- 5	0	X				

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2)** (\$)-0-

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,980	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)-0-**SUBMITTED BY**Name (Print/Type) **Patricia L. Pabst**Registration No.  
(Attorney/Agent)

31,284

**Complete (if applicable)**Telephone **(404) 817-8473**

Signature

Date **August 20, 2002****WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.

**HOLLAND & KNIGHT LLP**

One Atlantic Center  
1201 West Peachtree Street, N.E.  
Suite 2000  
Atlanta, Georgia 30309-3400

404-817-8500  
404-881-0470 FAX

www.hklaw.com

Annapolis  
Atlanta  
Bethesda  
Boston  
Bradenton  
Chicago  
Fort Lauderdale  
Jacksonville  
Lakeland  
Los Angeles  
Melbourne  
Miami  
New York  
Northern Virginia  
Orlando  
Portland  
Providence  
St. Petersburg  
San Antonio

San Francisco  
Seattle  
Tallahassee  
Tampa  
Washington, D.C.  
West Palm Beach

Holland & Knight LLC

International Offices:  
Caracas  
Helsinki  
Mexico City  
Rio de Janeiro  
Sao Paulo  
Tel Aviv  
Tokyo

\*Representative Offices

*Copy of Paper #16*

**FACSIMILE****TO:**

Examiner A. DeCloux,  
PhD

U.S. Patent and  
Trademark Office

703-305-7401

NAME

COMPANY/FIRM

FAX NUMBER

Washington

D.C.

703-306-5821

CITY

STATE

(TELEPHONE NUMBER)

**FROM:**

Patrea L. Pabst

404-817-8473

35

NAME

TELEPHONE

TOTAL PAGES (Including Cover Sheet)

**FOR THE RECORD:**

DATE: August 21, 2002

URGENCY: ☐ SUPER RUSH

☒ RUSH

☐ REGULAR

FAXED BY:

FILE #: 078230/00017

CLIENT NAME: Imperial College  
Innovations, Ltd.

CONFIRMED: ☐ YES ☐ NO

NAME: ICI 101

TIME:

If you did not receive all of  
the pages or find that they  
are illegible, please call  
(404) 817-8500

**CONFIDENTIALITY NOTICE:** This facsimile, along with any documents, files, or attachments, may contain information that is confidential, privileged, or otherwise exempt from disclosure. If you are not the intended recipient or a person responsible for delivering it to the intended recipient, you are hereby notified that any disclosure, copying, printing, distribution or use of any information contained in or attached to this facsimile is strictly prohibited. If you have received this facsimile in error, please immediately notify us by facsimile or by telephone collect at the numbers stated above, and destroy the original facsimile and its attachments without reading, printing, or saving in any manner. Your cooperation is appreciated. Thank you.

**MESSAGE: THIS DOCUMENT WAS FAX ON 8/20/02, AND IS BEING RESENT TO YOU ON 08/21/01. COPIES OF TRANSMISSION PAPERS ARE ATTACHED. THANK YOU.**

**RESPONSE UNDER C.F.R. § 1.116  
EXPEDITED PROCEDURE  
ART UNIT 1644**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Hans Josef Stauss and Liguang Gao

Serial No.: 09/625,963

Art Unit: 1644

Filed: July 26, 2000

Examiner: A. DeCloux

For: "IMMUNOTHERAPEUTIC METHODS USING EPITOPES OF WT-1 AND GATA-1"

OK	ECM	00006.08"	015	20:33	AUG. 20	30#000717#17033057401	01	TX	5405
RESULT	MODE	DURATION	PAGE	TIME	DATE	DESTINATION STATION	NO.	FUNCTION	SESSION

DATE: AUG. 20, 2002 20:37  
TEL :  
NAME:

## TX RESULT REPORT

## HOLLAND &amp; KNIGHT LLP

One Atlantic Center  
1201 West Peachtree Street, N.E.  
Suite 2000  
Atlanta, Georgia 30309-2400

(404) 517-8500  
404-521-0470 FAX

www.hkllp.com

Amesbury  
Atlanta  
Baltimore  
Boston  
Boulder  
Chicago  
Fort Lauderdale  
Jacksonville  
Lakeland  
Los Angeles  
Madison  
Miami  
New York  
Northwest Heights  
Oakridge  
Portland  
Preston  
St. Petersburg  
San Antonio

San Francisco  
Seattle  
Tampa  
Tucson  
Washington, D.C.  
West Palm Beach  
Holland & Knight LLC  
International Offices:  
Canton  
Madrid  
Mexico City  
Rio de Janeiro  
Sao Paulo  
Tel Aviv  
Tokyo  
Washington Office

## FACSIMILE

TO:	Examiner A. DeCloux,	U.S. Patent and	
PhD		Trademark Office	703-305-7401
NAME	COMPANY/FIRM	FAX NUMBER	
Washington	D.C.	703-306-5821	
CITY	STATE	(TELEPHONE NUMBER)	
FROM:			
Patricia L. Fahst	404-817-8473	33 (in two batches)	
NAME	TELEPHONE	TOTAL PAGES (including Cover Sheet)	
FOR THE RECORD:			
DATE: August 20, 2002	URGENCY: <input type="checkbox"/> SUPER RUSH <input checked="" type="checkbox"/> RUSH <input type="checkbox"/> REGULAR	CLIENT NAME: Imperial College	
FAKED BY:	FILE #: 078230/00017	Innovations, Ltd.	
CONFIRMED: <input type="checkbox"/> YES <input type="checkbox"/> NO	NAME: ICI 101	TIME:	
<p>If you did not receive all of the pages or find that they are illegible, please call (404) 817-8500</p> <p><b>CONFIDENTIALITY NOTICE:</b> This facsimile, along with any documents, film, or attachments, may contain information that is confidential, privileged, or otherwise exempt from disclosure. If you are not the intended recipient or a person responsible for delivering it to the intended recipient, you are hereby notified that any disclosure, copying, printing, distribution or use of any information contained in or attached to this facsimile is strictly prohibited. If you have received this facsimile in error, please immediately notify us by facsimile or by telephone using the numbers stated above, and destroy the original facsimile and its attachments without making, printing, or storing in any manner. Your cooperation is appreciated. Thank you.</p>			

## MESSAGE:

RESPONSE UNDER C.F.R. § 1.116  
EXPEDITED PROCEDURE  
ART UNIT 1644

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hane Josef Statute and Liqian Gao

Serial No.: 09/625,363 Art Unit: 1644

Filed: July 28, 2000 Examiner: A. DeCloux

For: "IMMUNOTHERAPEUTIC METHODS USING EPITOPES OF WT-1 AND CATA-1"

